# Checklist for Residential Care Facilities on the Prevention, Detection and Control of Influenza-like illness and Influenza Outbreaks 2016/2017

## Public Health Medicine Communicable Disease Group

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1 This checklist is an aide-memoire. It is recommended to read it in conjunction with the Public Health Guidelines on the Prevention and Management of Influenza Outbreaks in Residential Care Facilities in Ireland 2016/2017. Available at [http://www.hpsc.ie/A-Z/Respiratory/Influenza/SeasonalInfluenza/Guidance/](http://www.hpsc.ie/A-Z/Respiratory/Influenza/SeasonalInfluenza/Guidance/)
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Glossary of Terms

CIPCN = Community Infection Prevention and Control Nurse
DPH = Director of Public Health
GP = General Practitioner
HSE = Health Service Executive
ILI = Influenza-like illness
MOH = Medical Officer of Health
OCT = Outbreak Control Team
PPE = Personal Protective Equipment
RCF = Residential Care Facility
SI = Statutory Instrument
Checklist for Residential Care Facilities on the Prevention, Detection and Control of Influenza-like illness and Influenza Outbreaks

Planning and Education

1. All RCF should appoint a staff member to lead on the development and implementation of an influenza prevention programme and on infection prevention and control policies/guidelines and protocols
2. All RCF should develop written policies/guidelines which cover:
   a. Immunisation of residents and staff
   b. Standard and Transmission Based Precautions including Droplet and Contact Precautions
   c. Outbreak management. This will include contingency plans for staff shortages (due to illness during the outbreak), ensuring sufficient supplies e.g. personal protective equipment (PPE) and restriction of visitor access, with appropriate signage around transmission reduction and restriction issues.
3. All RCF should ensure education and training in influenza prevention is provided to all new staff at induction and that regular re-training is provided to all staff on an ongoing basis.
4. Topics to include in the influenza education programme are:
   a. Facts on influenza immunisation
   b. Standard and Transmission Based Precautions including Droplet and Contact Precautions
   c. Symptoms and signs of influenza infection
   d. Exclusion criteria for ill staff
5. All RCF should routinely audit the implementation of the influenza prevention and control programme.
6. All RCF should nominate a person to act as liaison with Public Health and the Community Infection Prevention and Control Nurse (CIPCN) where available (for HSE facilities only) in the event of an outbreak.

Antiviral Access

At the start of the influenza season, it is recommended that each residential care facility has procedures in place to ensure ready quick access to antiviral medications (Tamiflu) through the normal channels/pharmacy provider in the event of an influenza outbreak.

Influenza Vaccination

Residents

1. It is the responsibility of the RCF management to ensure that all residents are vaccinated with influenza vaccine (unless there is a medical contraindication), at the beginning of each influenza season in late September or early October. Residents, not previously vaccinated, should also be vaccinated during an influenza outbreak
2. All new unvaccinated residents or respite admissions during the influenza season should receive influenza vaccine, ideally at least two weeks before admission or else as soon as possible after admission
3. Pneumococcal vaccination is also recommended for all residents aged 65 years and older and all residents who are in the recommended risk groups as per the Immunisation Guidelines for Ireland, 2013 (updated August 2015). Pneumococcal vaccine is not required annually (See Immunisation Guidelines, Updated August 2015).


5. Obtain resident’s or substitute decision maker’s consent for influenza and pneumococcal vaccine on admission to RCF

6. The vaccination status of all residents should be recorded annually and vaccination coverage (% of residents vaccinated) estimated. This information should be easily accessible to Public Health in the event of an outbreak.

**Staff**

1. It is the responsibility of the RCF to maximise uptake of influenza vaccine and to ensure that all staff members are offered influenza vaccine, both at the beginning of each influenza season (September/October) and during an influenza outbreak if they are unvaccinated.

2. Prior to and upon employment and then annually each staff member should be assessed regarding their influenza vaccination status.

3. All staff should be encouraged to receive influenza vaccine at the start of each influenza season. Staff vaccinated late in the influenza season will also need vaccination at the start of the next influenza season.

4. The vaccination status of all staff should be recorded annually and vaccination coverage (% of staff vaccinated) estimated. This information should be easily accessible to Public Health in the event of an outbreak.

5. RCF management should provide feedback to staff on influenza vaccine coverage rates.

6. Ill staff should not attend for work. A written staff exclusion policy is needed.

**Visitors**

1. Visitors of residents should be advised of the importance of receiving influenza vaccine, both for their own protection and for the protection of residents (usually relatives) who may have a suboptimal response to their own influenza vaccinations.

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**Each residential care facility should have resident and staff vaccination policies for influenza and pneumococcal infections**

**All healthcare workers and residents of residential care facilities should be offered annual influenza vaccination**

**The effectiveness of current influenza vaccines in the elderly population is often diminished. Increasing immunisation rates among healthcare workers and elderly caregivers and the development of more effective vaccines for the elderly is likely to significantly improve influenza prevention in this population.**
Detection of an outbreak

1. Management at the RCF should have a process in place to monitor residents and staff for influenza-like illness (ILI) (Appendix A). It is also important to monitor staff absenteeism rates for unusual patterns i.e. more than expected staff absent from work.

2. RCF staff should suspect an outbreak of influenza if an increase in respiratory or influenza-like illness is noted during routine illness monitoring (i.e. three or more cases in a 72 hour period) (Appendix A)

3. If an outbreak of influenza-like illness is suspected, it is advisable that combined nose and throat swabs to check for influenza are taken from the initial patients and sent to the laboratory either locally or to the National Virus Reference Laboratory dependent on local arrangements. In an outbreak situation, combined nose and throat swabs should be collected.

4. RCF may seek advice from the local laboratory regarding access to viral swabs

5. Adverse effects (e.g. prolonged isolation in a room) of being diagnosed as a case of influenza during an outbreak make it imperative that cases are assessed thoroughly and diagnosed in a timely manner.

Clinical manifestation of influenza in the elderly

The often subtle clinical manifestations of influenza in frail elderly patients may not be recognised initially leading to delayed diagnosis and delaying timely administration of antiviral treatment. In older adults, influenza symptoms may initially be very subtle and difficult to recognise. Instead, elderly patients may present only with cough, fatigue and confusion. While younger adults and children may have fevers as high as 104°F (40°C), the fever response may be more blunted in older adults and in nursing home elderly, influenza often fails to produce fever over 99°F (37.2°C). Elderly patients are also more susceptible to pulmonary complications from influenza. Influenza may present in the elderly patient as an exacerbation of an underlying condition such as chronic pulmonary and cardiovascular disease, Asthma, Diabetes Mellitus etc. If an increased number of residents become unwell over a short period of time with respiratory illness, influenza should be suspected.

Reporting and Notification of an Outbreak

1. RCF staff should inform the local medical team/attending GP(s) for the facility of suspected cases of influenza so that an appropriate diagnosis can be made. If cases are confirmed as meeting the diagnosis of ILI or influenza, the GP confirming the suspected outbreak should then notify the local Director of Public Health/Medical Officer of Health (DPH/MOH) or Public Health Specialist on Call at the local Department of Public Health (under the Infectious Diseases Regulations) who will provide advice and support on control measures and the management of the outbreak. This is in accordance with the amended Infectious Disease Regulations (SI 707: 2003). In HSE residential care facilities, RCF staff should also inform the HSE Community Infection Prevention and Control Nurse (CIPCN) (where available in HSE facilities only) of all influenza and ILI outbreaks and the CIPCN (HSE facilities
only) will also provide advice and support to the facility. Management at the RCF should ensure that all relevant contact numbers are readily available at all times.

2. Management at the RCF should nominate a person to act as liaison with Public Health and the CIPCN.

Implementation of infection control measures

RCF staff must ensure that Standard and Transmission Based Precautions i.e. Droplet and Contact Precautions are implemented promptly if influenza or respiratory infection is suspected in any resident.

For more specific details on Standard and Transmission Based Precautions i.e. Droplet and Contact Precautions including additional precautions for aerosol generating procedures in relation to influenza, refer to *Infection Prevention and Control for Patients with Suspected or Confirmed Influenza Virus in Healthcare Settings* for detailed information available at: 
http://www.hpsc.ie/A-Z/Respiratory/Influenza/SeasonalInfluenza/Infectioncontroladvice/

Key points for influenza control

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<td>1.</td>
<td>Reinforce implementation of Standard Precautions especially hand hygiene, respiratory hygiene and cough etiquette, vaccination and antiviral treatment and chemoprophylaxis for non-symptomatic patients.</td>
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<td>2.</td>
<td>Implement additional Transmission Based Precautions including Droplet and Contact Precautions (where applicable) for at least 7 days after symptom onset or as instructed by the OCT (See Duration of Transmission Based Precautions on P.9)</td>
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<td>3.</td>
<td>Establish the diagnosis early in the outbreak by taking combined viral nose and throat swabs from ill residents</td>
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<td>4.</td>
<td>Use single rooms when available or else cohort ill residents</td>
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<td>5.</td>
<td>Mask residents (with surgical mask if tolerated) when transported out of their room</td>
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<td>6.</td>
<td>Duration of precautions for immunocompromised residents cannot be defined as prolonged duration of viral shedding (i.e. for several weeks) has been observed. Discuss with the consultant microbiologist/virologist.</td>
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<td>7.</td>
<td>Exclusion of symptomatic staff. Exclude all those with influenza symptoms (even if they are vaccinated or taking antiviral medication) from work for 5 days after the onset of symptoms i.e. no longer infectious.</td>
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<td>8.</td>
<td>Exclusion of symptomatic visitors</td>
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Duration of Transmission Based Precautions i.e. Droplet Precautions and Contact Precautions (where applicable)

International recommendations in relation to the duration of Droplet and Contact Precautions for cases of Influenza vary from country to country. In the UK and Scotland the exact duration of precautions is not specified. Australian guidelines recommend discontinuing precautions 5 days from onset of
symptoms whereas the CDC (USA) recommends continuing precautions for at least 7 days post symptom onset.

Droplet and contact precautions should be continued for as long as residents remain symptomatic or are considered infectious. Treating clinicians should always be consulted before discontinuing precautions taking into consideration individual resident risk factors including the age of the resident, the presence of existing comorbidities, immunosuppression and the presence and severity of symptoms.

As a guide to assist healthcare workers, current Irish guidelines suggest following the CDC recommendation to maintain transmission based precautions for at least 7 days (see http://www.hpsc.ie/A-Z/Respiratory/Influenza/SeasonalInfluenza/Infectioncontroladvice/).

However, clinical judgment should be exercised in each instance and the time frame may be shortened in situations where residents are healthy.

In relation to infected staff, assuming that they are healthy, not severely ill and are not immunosuppressed, staff should not return to work for at least 5 days after onset of illness or until they are asymptomatic.

See Appendix B for Standard and Transmission Based Precautions
Risk Assessment and Outbreak Management

1. On notification of an outbreak, the local Department of Public Health will liaise with the RCF and undertake a risk assessment to verify the extent and seriousness of the outbreak. To assist the risk assessment the RCF will be required to provide the following information:

   a. The total number of staff and residents
   b. The number of ill residents
   c. The number of ill staff including recent absenteeism rate
   d. The pattern of illness in terms of the date of onset of symptoms, type of symptoms and severity of illness i.e. number hospitalised, number dead
   e. If any relatives or visitors of residents were ill with similar illness
   f. If there is a working diagnosis for the illness
   g. The layout of the facility (location of cases) and which infection prevention and control measures have already been implemented e.g. visitor restrictions, cessation of new admissions, staff exclusion etc.
   h. If viral swabs have been taken for influenza or other respiratory pathogens
   i. If antivirals have been initiated as treatment and/or chemoprophylaxis, and if so, provide the number of staff and residents that received treatment/chemoprophylaxis
   j. The vaccination status of both residents and staff, including the numbers vaccinated prior to and during the outbreak.

2. Following the risk assessment, Public Health will decide whether or not to convene an outbreak control team (OCT). An OCT will be convened if deemed necessary.

3. If an OCT is not deemed necessary, Public Health and the local CIPCN, where one is available (HSE facilities only) will provide advice and support to the RCF on the management of the outbreak including infection prevention and control measures e.g. Standard and Transmission-Based Precautions (i.e. Droplet and Contact Precautions), antiviral treatment and chemoprophylaxis and vaccination. Additional support may be provided in the event an OCT is convened.

4. The RCF will also notify Public Health and the CIPCN on a daily basis in relation to the status of cases (residents and staff), new cases, implementation of control measures, difficulties encountered etc. This will continue until the outbreak is declared over.

5. Following the risk assessment, Public Health may recommend antiviral treatment and chemoprophylaxis. This should be prescribed by the patient’s physician.
Influenza vaccination during an outbreak

1. During influenza outbreaks, influenza vaccine should be offered (unless contraindicated) to all unvaccinated residents, staff members and recommended for unvaccinated visitors and volunteers. It takes approximately 2 weeks for a protective immune response to develop.

2. Vaccination of staff may take place on the facility as per local arrangements in accordance with best practice. Alternatively staff members may visit their GP for the vaccine.

3. It is the responsibility of the RCF to ensure that all unvaccinated residents are vaccinated on admission and during an influenza outbreak (if they are unvaccinated) and that this information is recorded.

4. It is the responsibility of the RCF to maximise uptake of influenza vaccine and to offer vaccine to all unvaccinated staff members during an influenza outbreak.

Steps to Remember

1. Early identification of a suspected outbreak of influenza-like illness or influenza to be verified by the attending GP

2. The diagnosing GP should notify the local Director of Public Health (MOH) or Public Health Specialist on call who will provide advice and support on control measures and the management of the outbreak. This is in accordance with the amended Infectious Disease Regulations (S1 707: 2003).

3. In HSE residential care facilities, RCF staff should also inform the HSE Community Infection Prevention and Control Nurse (CIPCN) where available (HSE facilities only) of all ILI and influenza outbreaks and the CIPCN will also provide advice and support to the facility.

4. The RCF should nominate a person to act as liaison with Public Health and the CIPCN

5. Implementation of Standard Precautions and Transmission Based Precautions i.e. Droplet and Contact Precautions (where applicable) for symptomatic cases. Other control measures should be implemented as advised e.g. vaccination and use of antiviral drugs for treatment and chemoprophylaxis.

6. Public Health will undertake a risk assessment and will establish an outbreak control team (OCT) if required. They will provide advice and support on control measures and the management of the outbreak e.g. Standard, and Transmission Based Precautions i.e. Droplet and Contact Precautions, antiviral treatment and chemoprophylaxis, vaccination.

See Appendix C: Sample poster on Respiratory Hygiene/Cough Etiquette
Appendix A: Monitoring of influenza-like illness and influenza including reporting

Surveillance (monitoring for illness) is an essential component of any effective infection prevention and control programme. Influenza outbreaks may still occur among highly vaccinated residents of RCF and staff of such facilities should be prepared to monitor residents and personnel each year for influenza-like illness (ILI)/influenza symptoms and promptly initiate measures to control the spread of influenza within facilities where outbreaks are detected. Monitoring for ILI/influenza infections should occur year round and particularly between weeks 40 and 20 (influenza season: beginning of October to the end of May), however influenza outbreaks can occur at anytime of the year even during the summer.

All staff should be aware of the early signs and symptoms of influenza-like illness.

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Influenza-like illness (ILI) as per current definition in Ireland

Influenza-like illness (ILI)

Sudden onset of symptoms

And

At least one of the following four systemic symptoms:
- Fever or feverishness
- Malaise
- Headache
- Myalgia (muscle pains)

And

At least one of the following three respiratory symptoms:
- Cough
- Sore throat
- Shortness of breath
Management of RCF should have a process in place to monitor staff and residents for ILI. It is also important to monitor staff absenteeism rates for unusual patterns i.e. more than expected staff absent from work.

Definition of an ILI or influenza or respiratory disease outbreak
The following is the current definition for an outbreak of:

1. Influenza-like illness (ILI) \textit{or}
2. Laboratory confirmed influenza (Influenza A and B virus) \textit{or}
3. Probable or possible influenza (Influenza A and B virus) \textit{or}
4. Acute respiratory illness

Definition of an influenza/ILI outbreak
Three\textsuperscript{2} or more cases of influenza-like illness (ILI) or influenza or respiratory illness within the same 72 hour period in the RCF which meet the same clinical case definition and where an epidemiological link can be established

\textbf{NOTE: Please contact the local Department of Public Health to discuss if necessary}

\textsuperscript{2} Investigation of lower numbers of cases can be undertaken if considered appropriate following public health risk assessment
Appendix B: Standard and Transmission Based Precautions

Standard Precautions

Standard Precautions are a group of routine infection prevention and control measures that should be practiced at all times by all staff in all settings regardless of suspected, confirmed or infectious status. Their importance should be reinforced during an outbreak. Standard Precautions require all healthcare workers to assume that all blood, body fluids, secretions and excretions (except sweat), non-intact skin and mucous membranes are potential sources of infection.

The key elements of Standard Precautions are:

- Hand hygiene
- Occupational Health
- Personal protective equipment (PPE)
- Respiratory hygiene and cough etiquette
- Management of spillages of blood and body fluids
- Management of needlestick/sharps injuries and blood and body fluid exposures
- Management of laundry and linen
- Environmental hygiene
- Safe management of resident-care equipment and medical devices
- Management of healthcare waste and sharps
- Resident placement, movement and transfer
- Safe injection practices
- Infection control practices for lumbar punctures.

Respiratory hygiene/cough etiquette

Respiratory hygiene/cough etiquette is a new component of Standard Precautions. This strategy applies at all times (i.e., not just during an outbreak) to any person with signs of illness including cough, congestion, rhinorrhoea or the increased production of respiratory secretions when entering or while resident in the healthcare facility.

The elements of respiratory hygiene/cough etiquette include:

1. Source control measures e.g. covering the nose/mouth with a tissue when coughing and prompt disposal of used tissue, using surgical masks on coughing patients when tolerated and appropriate
2. Education of healthcare staff in the RCF, patients and visitors of measures outlined in 1 above
3. Posted visual signs in language(s) appropriate to the population served with instructions to patients and accompanying family members or friends to inform staff if they have respiratory symptoms and of measures outlined in 1 above
4. Hand hygiene after contact with respiratory secretions and
5. Spatial separation, ideally >3 feet (1 metre), of persons with respiratory infections in common waiting areas when possible.
Covering sneezes and coughs and placing masks on coughing patients are proven means of source containment that prevent infected persons from dispersing respiratory secretions into the air.

1. Residents and staff should be encouraged to practice good respiratory hygiene which involves covering the mouth/nose when sneezing and coughing and using tissues to contain respiratory secretions.
2. Tissues should be disposed of immediately in the general waste and the hands thoroughly washed with soap and water or cleaned with alcohol-based hand cleaner.
3. If an ill resident is coughing persistently, the use of a surgical mask (if tolerated) may assist in preventing the dispersal of infected droplets.

*(See Appendix C for sample poster on Respiratory Hygiene/Cough Etiquette)*

**Additional infection prevention and control precautions**

Transmission Based Precautions are additional infection prevention and control measures that are recommended when Standard Precautions alone are not enough to prevent the spread of infectious diseases such as influenza, pulmonary tuberculosis, and chicken pox.

Unlike Standard Precautions that apply to all residents, transmission based precautions only apply to particular clients based on either a suspected or confirmed infection or disease e.g. Influenza.

There are three categories of Transmission Based Precautions:

- Droplet Precautions
- Contact Precautions
- Airborne Precautions

More than one set of precautions may be required for infections spread by multiple routes

**Droplet Precautions**

Droplet Precautions are used in addition to Standard Precautions to prevent and control infections spread over short distances, less than 3 feet (1 metre) by large droplets (≥5µm in size). Droplet transmission occurs when large droplets from the respiratory tract of an infected person are spread directly on to a mucosal surface (e.g. eyes, nose, mouth) of another person. Respiratory droplets are shed when a person is coughing, sneezing or talking and during certain healthcare procedures such as suctioning and endotracheal intubation.

**Contact Precautions**

Contact Precautions are used in addition to Standard Precautions to prevent and control infections that are transmitted by direct contact with the resident or indirectly through contact with the resident’s immediate care environment

**Residents aged ≥ 5 years: Droplet Precautions** in addition to Standard Precautions are required to prevent transmission of Influenza in healthcare facilities.
Residents aged <5 years: Droplet, Contact and Standard Precautions are required to prevent transmission of Influenza in healthcare facilities.

For more specific details on Standard, Droplet, Contact and additional precautions for aerosol generating procedures in relation to influenza, refer to *Infection Prevention and Control for Patients with Suspected or Confirmed Influenza Virus in Healthcare Settings* for detailed information available at: [http://www.hpsc.ie/A-Z/Respiratory/Influenza/SeasonalInfluenza/Infectioncontroladvice/](http://www.hpsc.ie/A-Z/Respiratory/Influenza/SeasonalInfluenza/Infectioncontroladvice/)

All RCFs must have local guidelines and an education programme in place for Standard and Transmission Based Precautions

**Infection control measures**

In the outbreak situation, infection control measures should be tailored for the specific situation. This is done in conjunction with infection prevention and control staff, Public Health staff and the medical director/officer of the RCF. **In addition, all staff at the RCF should be notified of the outbreak and management should ensure that all resources (gloves, goggles, masks, liquid soap, paper towels, alcohol gel/rub, tissues etc) are available as required.**

**Additional considerations include:**

1. If an outbreak is confined to one unit, all residents from that unit should be encouraged to avoid contact with residents in the other units in the facility
2. Limiting social activities and restricting all residents to their units as much as possible
3. Considering rescheduling of non-urgent medical appointments made prior to the outbreak.

**Admissions, transfers, visitors**

1. When a resident is transferred to hospital from a RCF experiencing an outbreak, the RCF should advise the ambulance staff and the hospital infection prevention and control specialist in advance and provide details of the outbreak. This will ensure that appropriate infection control measures are in place when the resident arrives at the hospital.
2. Admissions of new residents to RCF during an outbreak are generally not recommended
3. Non-urgent resident transfers (from anywhere in the RCF ) to another RCF are not recommended
4. Post a visitor restriction sign at all entrances of the RCF indicating that there is an outbreak in the RCF.
5. Limit visitors as much as possible:
   a. Exclude all children or anyone with ILI symptoms regardless of age
   b. Advise visitors to:
      - To use alcohol hand gel/rub on their hands on entry and exit to the facility
Visit only one resident and exit the RCF immediately after the visit

The RCF should ensure that surgical masks are available for visitors with respiratory symptoms who might inadvertently enter the RCF. These visitors should be excluded except in exceptional circumstances and at the discretion of the person-in-charge of the residential care facility.

**Staff**

1. In the context of a suspected ILI/influenza outbreak, monitor staff and volunteer absenteeism due to respiratory symptoms consistent with influenza and exclude all those with influenza-like symptoms (even if they are vaccinated or taking antiviral medication) from work for 5 days after the onset of symptoms. Staff experiencing influenza-like symptoms or fever should not work in any healthcare setting including a RCF.
2. Attempts should be made to minimise movement of staff between floors/units of the facility especially if some units are unaffected. Discuss the possibility of one staff member (or group of staff) looking after ill residents and others looking after well residents.
3. During an outbreak, it is recommended that only vaccinated staff should be working in the affected unit.
   a. It is strongly recommended that all staff should be vaccinated against influenza unless there are contraindications.
4. Asymptomatic vaccinated staff have no restrictions on working at other facilities.
5. Unvaccinated asymptomatic staff should wait one incubation period (3 days) from the last day that they worked at the outbreak facility/unit prior to working in a non-outbreak facility to ensure that they are not incubating influenza.
Appendix C: Sample poster on Respiratory Hygiene/Cough Etiquette

COUGHING AND SNEEZING

- Turn your head away from others
- Use a tissue to cover your nose and mouth
- Drop your tissue into a waste bin
- No tissues? Use your sleeve
- Clean your hands after discarding tissue using soap and water or alcohol gel for at least 15 seconds

These steps will help prevent the spread of colds, flu and other respiratory infections.